



USAID
FROM THE AMERICAN PEOPLE

HRH2030
HUMAN RESOURCES FOR HEALTH IN 2030

Human Resources for Health in 2030

Request for Applications

No. HRH2030-2020-4.5-RFA-01

Issuance Date: February 21, 2020

Dear Applicant:

The Human Resources for Health in 2030 (HRH2030) program, implemented by Chemonics International (Prime Organization), is seeking award applications under our Health Workforce Resilience Prize Call, a challenge designed to identify innovative strategies to strengthen the resiliency of health workers. Awards will be in accordance with the U.S. Agency for International Development (USAID) and U.S. Government regulations governing cooperative agreements and HRH2030's internal policies.

SECTION I. PROGRAM DESCRIPTION

I-A. OBJECTIVE

Recognizing that innovation stems from necessity, HRH2030 is awarding prize grants to individuals and/or organizations who have designed or developed a creative strategy, tool, method, approach, or resource that strengthens health workforce resilience in low- and middle-income countries (LMICs) and enables health workers to meet the service delivery needs of their communities. Prize(s) will recognize and elevate the profile of individuals or organizations and their proven approaches or new ideas for supporting health worker resilience, so that these successes may be scaled and replicated to contribute to high-performing health systems.

I-B. BACKGROUND

HRH2030 is a five-year project financed by USAID and implemented by Chemonics International. As USAID's global flagship human resources for health program, HRH2030 strives to build the accessible, available, acceptable, and high-quality health workforce needed to improve health outcomes. HRH2030 helps LMICs develop the health workforce needed to prevent maternal and child deaths, support the goals of Family Planning 2020, control the HIV/AIDS epidemic, and protect communities from infectious diseases.

Given the real possibility that natural disasters, disease outbreaks, and civil strife can strike countries at any given time, 'resilience' is key for any health system. Especially critical is that the backbone of the health system—the health workforce—be resilient. Despite local government and donor efforts to develop strong health systems that can withstand shocks to the system, or be resilient, health systems in LMICs often remain under resourced and unable to deliver basic health services when faced with adversity. Health worker resilience can take many forms. For the purpose of this request for applications (RFA), we use a broad and liberal definition of health workforce resiliency to obtain as wide a breadth of proven approaches and new ideas as possible from around the globe. We define health workforce resilience as the ability of health actors, groups, and institutions to prepare for, mitigate, adapt to, and



recover from crises, shocks, and stresses.¹ Resilience also includes being able to maintain core functions when a crisis hits and to reorganize appropriately based on lessons learned during a crisis to better be able to adjust to the next shock or stressor. At a larger scale, damage to health systems could result from disease outbreaks (e.g., Ebola), natural disasters, political instability, or an influx of refugees. While in some countries, health workers face challenges more related to ‘everyday resilience,’ such as frequent stock outs, lack of electricity or water, difficult geographies, disadvantaged areas, poorly staffed facilities, or regular seasonal disease outbreaks.

I-C. DETAILED PROGRAM DESCRIPTION

Prize competitions are a tried and tested method for supporting innovation. They may inspire and incentivize individuals to explore something new and problem solve. They may also attract and engage a wider breath of participants from a program’s usual array of partners or collaborators. This Health Workforce Resilience Prize intends to facilitate the engagement and participation of anyone, anywhere who can provide solutions to bolster health workforce resiliency.

HRH2030 sees this open innovation prize as a critical tool to discover proven approaches and bring forth creative, new ideas for building health worker resiliency, especially those local solutions that may otherwise have little opportunity for visibility. Health workers—the backbone of the health system—and the organizations that support them often show remarkable ingenuity and innovation when dealing with challenges in the health system or broader environment so that they can provide the health services their communities need. By establishing this prize mechanism, we hope to have an expansive outreach to all corners of the world to identify health workforce solutions and bring greater attention to the importance of health workforce resilience. Then, we can inspire others to adopt and scale these innovations to support the resilient workforce needed to achieve improved health outcomes.

In recognition of the strategies, tools, methods, approaches, and/or resources that demonstrate effective ways to strengthen health workforce resilience in LMICs, HRH2030 will use our communications platforms to disseminate and raise awareness of the successful ideas so that they can be sustained, scaled, and replicated in other settings.

Finalists will be showcased on HRH2030’s website page for the Health Workforce Resilience Prize, on the global program’s social media platforms (Twitter, Facebook, and/or Vimeo), as well as through other forums. In addition, all finalists will receive a certificate.

I-D. AUTHORITY/GOVERNING REGULATIONS

HRH2030’s Health Workforce Resilience Prize is made under the authority of the U.S. Foreign Affairs Act and USAID’s Advanced Directive System (ADS) 302.3.5.6, “Grants Under Contracts.” Awards made to non-U.S. organizations will adhere to guidance provided under [ADS Chapter 303](#), “Grants and Cooperative Agreements to Non-Governmental Organizations” and will be within the terms of the USAID Standard Provisions, as well as the HRH2030 grants procedures.

¹ Adapted from <https://www.usaid.gov/global-health/health-systems-innovation/health-systems/promoting-resilience> and [https://www.thelancet.com/journals/lancet/article/PIIS0140-6736\(15\)60755-3/fulltext](https://www.thelancet.com/journals/lancet/article/PIIS0140-6736(15)60755-3/fulltext)



Full text of 2 CFR 200 can be found [here](#)² and 2 CFR 700 [here](#).³ HRH2030 is required to ensure that all organizations receiving USAID grant funds comply with the guidance found in the regulations referenced above, as applicable to the respective terms and conditions of their grant awards.

USAID retains the right at all times to terminate, in whole or in part, HRH2030's sub-award authorities under HRH2030's Health Workforce Resilience Prize.

Issuance of this RFA does not constitute an award or commitment on the part of HRH2030, nor does it commit HRH2030 to pay for costs incurred in the preparation and submission of an application. Further, HRH2030 reserves the right to accept or reject any or all applications received and reserves the right to ask further clarifications from applicants. Successful applicants will be informed in writing of the decision made regarding their application.

SECTION II. FIXED AMOUNT AWARD (PRIZE) INFORMATION

II-A. NUMBER AND AMOUNT OF FIXED AMOUNT AWARD (PRIZE)

HRH2030 anticipates awarding up to three prize(s) to an individual(s) or organization(s) from an LMIC that has designed or developed a strategy, tool, method, approach, or resource to strengthen health workforce resilience. HRH2030 expects to award up to the local currency equivalent of \$50,000 USD in prizes for new ideas and promising approaches so that they may be replicated and/or scaled. The prizes will be awarded as fixed amount awards.

II-B. INELIGIBLE EXPENSES FOR USE OF FIXED AMOUNT AWARD (PRIZE)

Applicants may not use the HRH2030 prize money for any of the following:

- Construction or infrastructure activities of any kind
- Ceremonies, parties, celebrations, or "representation" expenses
- Purchases of restricted goods, such as: restricted agricultural commodities, motor vehicles including motorcycles, pharmaceuticals, medical equipment, contraceptive products, used equipment (without the previous approval of HRH2030), prohibited goods, or prohibited goods under USAID regulations (including but not limited to: abortion equipment and services, luxury goods)
- Alcoholic beverages
- Purchases of goods or services restricted or prohibited under the prevailing USAID source/nationality (Cuba, Iran, North Korea, and Syria)
- Any purchase or activity which has already been made or completed
- Prior obligations of debts, fines, and/or penalties imposed on the prize winner
- Creation of endowments

² http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

³ <http://www.ecfr.gov/cgi-bin/text-idx?SID=531ffcc47b660d86ca8bbc5a64eed128&mc=true&node=pt2.1.700&rgn=div5>



SECTION III. ELIGIBILITY TO APPLY

III-A. ELIGIBLE APPLICANTS

- Applicants may be individuals or organizations.
- Applicants must legally reside in or be registered to operate in an LMIC as defined by the World Bank. A list of these countries as of June 2019 can be found here: <https://datahelpdesk.worldbank.org/knowledgebase/articles/906519>
- If applying as an organization, applicants must be registered in their country(-ies) of operation, formally constituted, recognized by and in good standing with appropriate authorities, and compliant with all applicable civil and fiscal regulations.
- Faith-based and community groups will receive equal opportunity for funding in accordance with the mandated guidelines laid out in ADS 303.3.28 except for faith-based organizations whose objectives are for discriminatory and religious purposes, and whose main objective of the grant is of a religious nature.
- Applicants may submit multiple applications under this RFA. However, each application must have a unique idea. Submission of duplicate/multiple applications of the same content is not permitted.
- Applicants need to be willing to share their experiences and learning to help establish a body of knowledge that can bring about a greater awareness of locally driven solutions to support and sustain health workforce resilience.
- Applicants must clearly mark any confidential or proprietary content (including intellectual property and trade secrets) that they wish to protect. Applicants will retain ownership of such confidential or proprietary content. HRH2030 will protect such marked confidential or proprietary content and will only use it as necessary to administer the competition. Applicants must have rights to all the intellectual property in their submissions. Applicants are responsible for ensuring that they are not infringing on the intellectual property of other individuals or entities.
- Applicants are prohibited from offering any money, fee, commission, credit, gift, gratuity, thing of value, or compensation to obtain or reward improper, favorable treatment regarding this solicitation.
- For resiliency prize(s), awarded as fixed amount award(s) resulting from this RFA that is other than in-kind and equivalent to \$25,000 USD or more, prize winners will be required to get a Data Universal Numbering System (DUNS) number at the time of award. If applicable, HRH2030 will assist prize winners with the process to obtain a DUNS number via <http://fedgov.dnb.com/webform/pages/CCRSearch.jsp>



- All individuals and/or organizations selected for award are subject to a pre-award risk assessment conducted by HRH2030, to ascertain responsibility of grantee. If applying as an organization, ONLY those applicants selected as finalists will be required to complete the Applicant Self-Assessment Form (Annex B) and provide a copy of the organization’s valid legal registration.
- Applicants must comply with the below list of certifications, which are detailed in Annex C. ONLY if an applicant is selected as a finalist will these forms need to be signed and submitted to HRH2030. They are not to be submitted as part of the online application.
 - Representation by Organization Regarding a Delinquent Tax Liability or a Felony Criminal Conviction (August 2014)
 - Prohibition on Providing Federal Assistance to Entities that Require Certain Internal Confidentiality Agreements – Representation (May 2017)
 - Assurance of Compliance with Laws and Regulations Governing Nondiscrimination in Federally Assisted Programs
 - Certification Regarding Lobbying
 - Certification Regarding Terrorist Financing, Implementing Executive Order 13224
 - Participant Certification Narcotics Offenses and Drug Trafficking
 - Protecting Life in Global Health Assistance (May 2017)
 - Certification of Recipient

SECTION IV. APPLICATION AND SUBMISSION INFORMATION

IV-A. INSTRUCTIONS TO APPLICANTS

Applicants must propose strategies, tools, methods, approaches, resources and/or new ideas that demonstrate effective ways to strengthen health workforce resilience in LMICs and respond to the program description outlined above.

IV-B. APPLICATION PROCESS

Applications are to be submitted in English via the online survey at <https://hrh2030program.org/health-workforce-resilience-prize/>. See Annex A for a sample hard copy of the online survey. Applications must be submitted by no later than **11:59 p.m. Eastern (Washington, DC) time, on April 3, 2020**. Late, incomplete, or unresponsive applications will not be considered.

Only successful applicants will be contacted by HRH2030.

IV-C. QUESTIONS REGARDING APPLICATION PROCESS

Please submit all questions concerning this prize call to prize@hrh2030program.org. Questions must be received by **11:59 p.m. Eastern (Washington, DC) time, on March 2, 2020**. Answers to questions will be posted on HRH2030’s website page for the prize (<https://hrh2030program.org/health-workforce-resilience-prize/>) approximately one week after the deadline for questions.



SECTION V. APPLICATION MERIT REVIEW CRITERIA

Full applications will be evaluated against the merit review criteria in the table below. Each merit review category is further described below the table.

Merit Review Category	Rating (Points)
A. Quality and feasibility of proven approach or new idea	60
B. Ability to scale	20
C. Approach to sustainability	20
Overall Rating (out of 100 points)	100

A. Quality and feasibility of proven approach or new idea. The quality and feasibility of the application in terms of the viability of the proposed technical approach (i.e., the proven approach or new idea can reasonably be expected to produce the intended outcomes), appropriateness of the proposed methodology, and level or degree of innovativeness. The technical approach must directly contribute to the objectives of the prize call as described under Section I – Program Description. **60 points**

B. Ability to scale. The concept will be evaluated on its ability to be duplicated in similar environments and/or be scaled up to a larger-scale solution. The submission will be evaluated on the degree to which use of technology can be adapted for use in remote or underserved locations and communities. **20 points**

C. Approach to sustainability. The extent to which the concept can be implemented by community and local organizations without being overly financially burdensome, and whether the activity itself is sustainable. **20 points**

SECTION VI. ADDITIONAL RESOURCES

To develop a competitive submission, applicants are encouraged to consult:

- HRH2030’s website www.hrh2030program.org
- Promoting Resilience <https://www.usaid.gov/global-health/health-systems-innovation/health-systems/promoting-resilience>
- Enabling a Health Workforce <https://www.usaid.gov/global-health/health-systems-innovation/health-systems/enabling-health-workforce>
- Measuring Resilience <https://positivepsychology.com/3-resilience-scales/>
- The Lancet Viewpoint [https://www.thelancet.com/journals/lancet/article/PIIS0140-6736\(15\)60755-3/fulltext](https://www.thelancet.com/journals/lancet/article/PIIS0140-6736(15)60755-3/fulltext)



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SECTION VII. LIST OF ANNEXES

Annex A. Sample of Online Application Survey

The form in this annex is for informational purposes only. The application must be submitted via the online survey at <https://hrh2030program.org/health-workforce-resilience-prize/>.

Annex B. Applicant Self-Assessment Form (FOR ORGANIZATIONS ONLY)

The form in this annex is for informational purposes and is not to be submitted at the entry phase of the application process. Only prize finalists who submitted as organizations will be asked to complete the form at a later date.

Annex C. Required Certifications

The forms in this annex are for informational purposes and are not to be submitted at the entry phase of the application process. ONLY selected finalists will be asked to complete all of the certifications listed below at a later date.

1. Representation by Organization Regarding a Delinquent Tax Liability or a Felony Criminal Conviction (August 2014)
2. Prohibition on Providing Federal Assistance to Entities that Require Certain Internal Confidentiality Agreements – Representation (May 2017)
3. Assurance of Compliance with Laws and Regulations Governing Nondiscrimination in Federally Assisted Programs
4. Certification Regarding Lobbying
5. Certification Regarding Terrorist Financing, Implementing Executive Order 13224
6. Participant Certification Narcotics Offenses and Drug Trafficking
7. Protecting Life in Global Health Assistance (May 2017)
8. Certification of Recipient



ANNEX A. SAMPLE OF ONLINE APPLICATION SURVEY

The survey below is for reference only. Applications must be submitted via the online survey at <https://hrh2030program.org/health-workforce-resilience-prize/>

** denotes a mandatory question field*

Section 1: Eligibility

The following questions establish your eligibility and our responsibilities to you during the entry phase of this prize.

1. Can you confirm that, to the best of your knowledge, you/your organization comply with all of the certifications listed under Annex C of the Request for Applications (RFA)? *
 - a. Yes
 - b. No (ineligible, survey ends)

2. Can you confirm that, to the best of your knowledge, you comply with all the eligibility criteria under Section III of the Request for Applications (RFA)? *
 - a. Yes
 - b. No (ineligible, survey ends)

3. Can you confirm that, to the best of your knowledge, the information you are providing in this application is both true and accurate? *
 - a. Yes
 - b. No (ineligible, survey ends)

4. In applying for the Health Workforce Resilience Prize, you will be asked to provide us with personal information. All data of a personal nature will be safeguarded to the best of our ability. Do you consent? *
 - a. Yes
 - b. No (ineligible, survey ends)

5. Can you confirm that, to the best of your knowledge, all content and intellectual property in the submission is your own or you have explicit permission to use it? *
 - a. Yes
 - b. No (ineligible, survey ends)

Section 2. Participant Details

6. Participant's Name
 - a. Last Name/Surname*: (form field)
 - b. First/Given Name*: (form field)

7. Are you applying as...
 - a. An organization (Go to question 8)
 - b. An individual (Skip to question 10)



8. Name of the Organization*: (form field)
9. Is this organization a...*
 - a. Government Organization
 - b. Non-Governmental Organization (NGO)
 - c. Educational/Research Institution
 - d. Private Organization
 - e. Other (please specify): (form field)
10. Contact Information* (all fields are required)
 - a. Address: (field form)
 - b. Address (2): (field form)
 - c. City/Town: (field form)
 - d. State/Province: (field form)
 - e. Country: (field form)
 - f. Email Address: (field form)
 - g. Phone number: (field form)
11. Social Media Handles
 - a. Twitter: (field form)
 - b. Facebook: (field form)
 - c. LinkedIn: (field form)
 - d. Website: (field form)
12. Please provide a brief description of your organization or yourself (if applying as an individual), including background and experience in health and/or the health workforce. **Please limit your response to 75 words. Answers beyond the word count will not be considered.** * (field form)

Section 3. Your Approach

13. In three sentences or less, please provide a brief description of your tool, method, or approach to improve or enhance health worker resiliency. **Please limit your response to 75 words. Answers beyond the word count will not be considered.*** (form field)
14. How does your approach or idea contribute to supporting health workforce resilience? **Please limit your response to 200 words. Answers beyond the word count will not be considered.*** (form field)
15. Please describe how your approach or idea can be expanded, replicated and/or scaled up to support health workforce resiliency. **Please limit your response to 200 words. Answers beyond the word count will not be considered.*** (form field)
16. To what degree does your approach consider sustainability, taking into account economic and social factors, such as local ownership. **Please limit your response to 200 words. Answers beyond the word count will not be considered.*** (form field)

END OF APPLICATION SURVEY



ANNEX B. APPLICANT SELF-ASSESSMENT FORM (FOR ORGANIZATIONS ONLY)

The form in this annex is for informational purposes and is not to be submitted at the entry phase of the application process. Only prize finalists who submitted as organizations will be asked to complete the form at a later date. This self-assessment form for organizations is one component of the pre-award risk assessment that Chemonics International is required to conduct prior to awarding a grant per ADS 303.9. While this self-assessment form is not required from applicants applying as individuals, Chemonics International’s pre-award assessment will be applied to all prize finalists, both those applying as individuals and those applying as organizations.

Per ADS 303.3.9., Chemonics International must conduct a pre-award assessment prior to awarding a grant. In filling out the self-assessment form, which is a component of Chemonics International’s pre-award assessment, each question should be answered as completely as possible, using extra pages if necessary. Chemonics will use this information in combination with a pre-award risk assessment checklist in advance of awarding a grant.

APPLICANT INFORMATION

Name of Organization: _____

Name, Title, Contact Information of Individual Completing Self-Assessment Form:

SECTION A: INTERNAL CONTROLS

Internal controls are procedures that ensure:

- a. financial transactions are approved by an authorized individual and follow laws, regulations, and the organization's policies
- b. assets are kept safely
- c. accounting records are complete, accurate, and kept on a regular basis

Please complete the following questions concerning your organization's internal controls:

1. List the name, position/title, and telephone number for the individuals responsible for checking expenditures to make sure they are allowable: _____

2. Who is responsible for maintaining accounting records?

3. Who is responsible for preparing financial reports?

4. Who is responsible for preparing narrative reports?



5. Are timesheets kept for each paid employee? Yes: No:

6. Is your organization familiar with U.S. government regulations concerning costs which can be charged to U.S. grants (2 CFR 200 Subpart E)? Yes: No:

SECTION B: ACCOUNTING SYSTEM

The purpose of an accounting system is to 1) accurately record all financial transactions, and 2) ensure that all financial transactions are supported by invoices, timesheets, and other documentation. The type of accounting system often depends on the size of the organization. Some organizations may have computerized accounting systems, while others use a manual system to record each transaction in a ledger. In either case, Chemonics grant funds must be properly authorized, used for the intended purpose, and recorded in an organized and regular manner.

1. Briefly describe your organization's accounting system including: a) any manual ledgers used to record transactions (general ledger, cash disbursements ledger, suppliers ledger etc.); b) any computerized accounting system used (please indicate the name); and c) how transactions are summarized in financial reports (by the period, project, cost categories)?

2. Does your organization have written accounting policies and procedures? Yes: No:

3. Are your organization's financial reports prepared on a:
Cash basis: Accrual basis: (*Accrual - bill for costs before they are incurred*)

4. Can your organization's accounting records separate the receipts and payments of the Chemonics grant from the receipts and payments of your organization's other activities? Yes: No:

5. Can your organization's accounting records summarize expenditures from the Chemonics grant according to different budget categories such as salaries, rent, supplies, and equipment? Yes: No:

6. How are costs allocated that are "shared" by different funding sources, such as rent, utilities, etc.?

7. How often are financial reports prepared?
Monthly: Quarterly: Annually: Not Prepared: (*explain*)



8. How often are entries input into the financial system?

Daily: ___ Weekly: ___ Monthly: ___ Ad hoc/as needed: ___

9. How often is a cash reconciliation done?

Daily: ___ Weekly: ___ Monthly: ___ By Accountant's Decision: ___

10. Are invoices, vouchers, and timesheets kept for all payments made from grant funds?

Yes: ___ No: ___

SECTION C: FUNDS CONTROL

Chemonics grantees and recipients who receive advances of grant funds must maintain a separate bank account registered in the name of the organization for the purpose of keeping only Chemonics grant funds. The bank account must be in local currency. Chemonics normally pays grantees monthly by bank transfer to the separate account. Access to the bank account must be limited to authorized individuals. Bank balances should be compared each month with your organization's accounting records. For petty cash, it is very important to keep the cash in a strong safe and have strict controls over cash maintenance and disbursement.

1. Do you have a bank account registered in the name of your organization? Yes: ___ No: ___

2. Will the bank account draw interest? Yes: ___ No: ___

3. Are all bank accounts and check signers authorized by the organization's Board of Directors or Trustees or other authorized persons? Yes: ___ No: ___

4. Will any cash from Chemonics grant funds be kept outside the bank account (in petty cash funds, etc.)? Yes: ___ No: ___

4.a. If yes, please explain the amount of funds to be kept and the name and position/title of the person responsible for safeguarding cash.

SECTION D: AUDIT

Chemonics may require an audit of your organization's accounting records, or a review of said records by an independent accountant who works for an accounting firm. An audit report contains financial statements as well as an opinion by the accountant that the financial statements are correct. Please provide the following information on prior audits of your organization.

1. Does your organization have regular, independent audits that you contract and pay for?

Yes: ___ (please provide the most recent copy) No audits performed: ___

2. If yes, who performs the audit? _____



3. How often are audits performed?

Quarterly: ___ Yearly: ___ Every 2 years: ___ Other: ___ (explain)

4. If your organization does not have a current audit of its financial statements, please provide a copy of the following financial information, if available:

- a. A "Balance Sheet" for your prior fiscal or calendar year; and
- b. A "Revenue and Expense Statement" for your prior fiscal or calendar year.

5. Are there any reasons (local conditions, laws, or institutional circumstances) that would prevent an independent accountant from performing an audit of your organization? Yes: ___ No: ___

If yes, please explain: _____

SECTION E: CHECKLIST AND SIGNATURE PAGE

Chemonics requests that your organization submit a number of documents along with this completed questionnaire. Please complete the checklist below to ensure that all requested information is included, then sign and return the questionnaire and any other requested documents to Chemonics.

1. Complete the checklist:

- ___ Incorporation Papers or Certificate of Registration and Statute have been provided to Chemonics
- ___ Organizational chart, if available, has been provided to Chemonics (if applicable)
- ___ Copy of your organization's most recent audit has been provided to Chemonics (Or, if no recent audit, a "Balance Sheet" and "Revenue and Expense Statement" for the prior fiscal year)
- ___ All questions have been fully answered
- ___ An authorized individual has signed and dated this page

The Accounting Questionnaire must be signed and dated by an authorized person who has either completed or reviewed the form.

Approved by:

Signature

Position Title

Print Name

Date



ANNEX C. REQUIRED CERTIFICATIONS

1. REPRESENTATION BY INDIVIDUAL OR ORGANIZATION REGARDING A DELINQUENT TAX LIABILITY OR A FELONY CRIMINAL CONVICTION (AUGUST 2014)

(a) In accordance with section 7073 of the Consolidated Appropriations Act, 2014 (Pub. L. 113-76) none of the funds made available by that Act may be used to enter into an assistance award with any organization that –

- (1) Was “convicted of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency has direct knowledge of the conviction, unless the agency has considered, in accordance with its procedures, that this further action is not necessary to protect the interests of the Government”; or
- (2) Has any “unpaid Federal tax liability that has been assessed for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency has direct knowledge of the unpaid tax liability, unless the Federal agency has considered, in accordance with its procedures, that this further action is not necessary to protect the interests of the Government”.

For the purposes of section 7073, it is USAID’s policy that no award may be made to any organization covered by (1) or (2) above, unless the M/OAA Compliance Division has made a determination that suspension or debarment is not necessary to protect the interests of the Government.

(b) Applicant Representation:

- (1) The Applicant represents that it is is not an individual or organization that was convicted of a felony criminal violation under a Federal law within the preceding 24 months.
- (2) The Applicant represents that it is is not an individual organization that has any unpaid Federal tax liability that has been assessed for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability.

Signature

Position Title

Print Name

Date



2. PROHIBITION ON PROVIDING FEDERAL ASSISTANCE TO ENTITIES THAT REQUIRE CERTAIN INTERNAL CONFIDENTIALITY AGREEMENTS – REPRESENTATION (MAY 2017)

(a) Definitions.

“Contract” has the meaning given in 2 CFR Part 200.

“Contractor” means an entity that receives a contract as defined in 2 CFR Part 200.

“Internal confidentiality agreement or statement” means a confidentiality agreement or any other written statement that the recipient requires any of its employees or subrecipients to sign regarding nondisclosure of recipient information, except that it does not include confidentiality agreements arising out of civil litigation or confidentiality agreements that recipient employees or subrecipients sign at the behest of a Federal agency.

“Subaward” has the meaning given in 2 CFR Part 200.

“Subrecipient” has the meaning given in 2 CFR Part 200.

(b) In accordance with section 743 of Division E, Title VII, of the Consolidated and Further Continuing Appropriations Act, 2015 (Pub. L. 113-235) and its successor provisions in subsequent appropriations acts (and as extended in continuing resolutions), Government agencies are not permitted to use funds appropriated (or otherwise made available) for federal assistance to a non-Federal entity that requires its employees, subrecipients, or contractors seeking to report waste, fraud, or abuse to sign internal confidentiality agreements or statements that prohibit or otherwise restrict its employees, subrecipients, or contractors from lawfully reporting such waste, fraud, or abuse to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information.

(c) The prohibition in paragraph (b) of this provision does not contravene requirements applicable to Standard Form 312, (Classified Information Nondisclosure Agreement), Form 4414 (Sensitive Compartmented Information Nondisclosure Agreement), or any other form issued by a Federal department or agency governing the nondisclosure of classified information. (d) Representation. By submission of its application, the prospective recipient represents that it will not require its employees, subrecipients, or contractors to sign or comply with internal confidentiality agreements or statements prohibiting or otherwise restricting its employees, subrecipients, or contractors from lawfully reporting waste, fraud, or abuse related to the performance of a Federal award to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information (for example, the Agency Office of the Inspector General).
(END OF PROVISION)

Signature

Position Title

Print Name

Date



3. ASSURANCE OF COMPLIANCE WITH LAWS AND REGULATIONS GOVERNING NONDISCRIMINATION IN FEDERALLY ASSISTED PROGRAMS

(a) The recipient hereby assures that no person in the United States shall, on the bases set forth below, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under, any program or activity receiving financial assistance from USAID, and that with respect to the Cooperative Agreement for which application is being made, it will comply with the requirements of:

(1) Title VI of the Civil Rights Act of 1964 (Pub. L. 88-352, 42 U.S.C. 2000-d), which prohibits discrimination on the basis of race, color or national origin, in programs and activities receiving Federal financial assistance;

(2) Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), which prohibits discrimination on the basis of handicap in programs and activities receiving Federal financial assistance;

(3) The Age Discrimination Act of 1975, as amended (Pub. L. 95-478), which prohibits discrimination based on age in the delivery of services and benefits supported with Federal funds;

(4) Title IX of the Education Amendments of 1972 (20 U.S.C. 1681, et seq.), which prohibits discrimination on the basis of sex in education programs and activities receiving Federal financial assistance (whether or not the programs or activities are offered or sponsored by an educational institution); and

(5) USAID regulations implementing the above nondiscrimination laws, set forth in Chapter II of Title 22 of the Code of Federal Regulations.

(b) If the recipient is an institution of higher education, the Assurances given herein extend to admission practices and to all other practices relating to the treatment of students or clients of the institution, or relating to the opportunity to participate in the provision of services or other benefits to such individuals, and shall be applicable to the entire institution unless the recipient establishes to the satisfaction of the USAID Administrator that the institution's practices in designated parts or programs of the institution will in no way affect its practices in the program of the institution for which financial assistance is sought, or the beneficiaries of, or participants in, such programs.

Grantee Name _____

Grantee's Authorized Representative Name _____

Grantee's Authorized Representative Title _____

Grantee Authorized Representative Signature _____

Date _____



4. CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal Cooperative Agreement, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, United States Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that: If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Grantee Name _____

Grantee's Authorized Representative Name _____

Grantee's Authorized Representative Title _____

Grantee Authorized Representative Signature _____

Date _____



5. CERTIFICATION REGARDING TERRORIST FINANCING, IMPLEMENTING EXECUTIVE ORDER 13224

By signing and submitting this application, the prospective recipient provides the certification set out below:

1. The Recipient, to the best of its current knowledge, did not provide, within the previous ten years, and will take all reasonable steps to ensure that it does not and will not knowingly provide, material support or resources to any individual or entity that commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted to commit, facilitated, or participated in terrorist acts, as that term is defined in paragraph 3. The Certification in the preceding sentence will not be deemed applicable to material support or resources provided by the Recipient pursuant to an authorization contained in one or more applicable licenses issued by the U.S. Treasury's Office of Foreign Assets Control (OFAC).

2. The following steps may enable the Recipient to comply with its obligations under paragraph 1:

a. Before providing any material support or resources to an individual or entity, the Recipient will verify that the individual or entity does not (i) appear on the master list of Specially Designated Nationals and Blocked Persons, which list is maintained by the U.S. Treasury's Office of Foreign Assets Control (OFAC) and is available online at OFAC's website: <http://www.treas.gov/offices/eotffc/ofac/sdn/t11sdn.pdf>, or (ii) is not included in any supplementary information concerning prohibited individuals or entities that may be provided by USAID to the Recipient.

b. Before providing any material support or resources to an individual or entity, the Recipient also will verify that the individual or entity has not been designated by the United Nations Security (UNSC) sanctions committee established under UNSC Resolution 1267 (1999) (the "1267 Committee") [individuals and entities linked to the Taliban, Usama bin Laden, or the Al Qaida Organization]. To determine whether there has been a published designation of an individual or entity by the 1267 Committee, the Recipient should refer to the consolidated list available online at the Committee's website: <http://www.un.org/Docs/sc/committees/1267/1267ListEng.htm>.

c. Before providing any material support or resources to an individual or entity, the Recipient will consider all information about that individual or entity of which it is aware and all public information that is reasonably available to it or of which it should be aware.

d. The Recipient also will implement reasonable monitoring and oversight procedures to safeguard against assistance being diverted to support terrorist activity.

3. For purposes of this Certification-

a. "Material support and resources" means currency or monetary instruments or financial securities, financial services, lodging, training, expert advice or assistance, safehouses, false documentation or identification, communications equipment, facilities, weapons, lethal substances, explosives, personnel, transportation, and other physical assets, except medicine or religious materials."

(i) "Training" means instruction or teaching designed to impart a specific skill, as opposed to general knowledge.



(ii) "Expert advice or assistance" means advice or assistance derived from scientific, technical, or other specialized knowledge.

b. "Terrorist act" means-

(i) an act prohibited pursuant to one of the 12 United Nations Conventions and Protocols related to terrorism (see UN terrorism conventions Internet site: <http://untreaty.un.org/English/Terrorism.asp>); or

(ii) an act of premeditated, politically motivated violence perpetrated against noncombatant targets by subnational groups or clandestine agents; or

(iii) any other act intended to cause death or serious bodily injury to a civilian, or to any other person not taking an active part in hostilities in a situation of armed conflict, when the purpose of such act, by its nature or context, is to intimidate a population, or to compel a government or an international organization to do or to abstain from doing any act.

c. "Entity" means a partnership, association, corporation, or other organization, group or subgroup.

d. References in this Certification to the provision of material support and resources shall not be deemed to include the furnishing of USAID funds or USAID-financed commodities to the ultimate beneficiaries of USAID assistance, such as recipients of food, medical care, micro-enterprise loans, shelter, etc., unless the Recipient has reason to believe that one or more of these beneficiaries commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted to commit, facilitated, or participated in terrorist acts.

e. The Recipient's obligations under paragraph 1 are not applicable to the procurement of goods and/or services by the Recipient that are acquired in the ordinary course of business through contract or purchase, e.g., utilities, rents, office supplies, gasoline, etc., unless the Recipient has reason to believe that a vendor or supplier of such goods and services commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted to commit, facilitated, or participated in terrorist acts.

This Certification is an express term and condition of any agreement issued as a result of this application, and any violation of it shall be grounds for unilateral termination of the agreement by USAID prior to the end of its term.

Grantee Name _____

Grantee's Authorized Representative Name _____

Grantee's Authorized Representative Title _____

Grantee Authorized Representative Signature _____

Date _____



6. PARTICIPANT CERTIFICATION NARCOTICS OFFENSES AND DRUG TRAFFICKING

1. I hereby certify that within the last ten years:

a. I have not been convicted of a violation of, or a conspiracy to violate, any law or regulation of the United States or any other country concerning narcotic or psychotropic drugs or other controlled substances.

b. I am not and have not been an illicit trafficker in any such drug or controlled substance.

c. I am not or have not been a knowing assistor, abettor, conspirator, or colluder with others in the illicit trafficking in any such drug or substance.

2. I understand that USAID may terminate my eligibility to qualify for the resiliency prize if it is determined that I engaged in the above conduct during the last ten years or during the resiliency prize solicitation and award phase.

Signature: _____

Name: _____

Date: _____

Address: _____

Date of Birth: _____

NOTICE:

1. You are required to sign this Certification under the provisions of 22 CFR Part 140, Prohibition on Assistance to Drug Traffickers. These regulations were issued by the Department of State and require that certain participants must sign this Certification.

2. If you make a false Certification you are subject to U.S. criminal prosecution under 18 U.S.C. 1001.



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7. PROTECTING LIFE IN GLOBAL HEALTH ASSISTANCE (MAY 2017)

Per the required as applicable standard provision entitled, “Protecting Life in Global Health Assistance (May 2017)”, by signing below, the applicant or recipient, as applicable, through its duly designated representative, hereby certifies they will, along with all other sub-recipients, abide by **all** the terms and conditions of the referenced-standard provision including the following:

- (i) The recipient will not, while receiving assistance under this award, perform or actively promote abortion as a method of family planning in USAID-recipient countries or provide financial support to other foreign nongovernmental organizations that conduct such activities;
- (ii) The prime organization (Chemonics) and authorized representatives of USAID may, at any reasonable time: (A) inspect the documents and materials maintained or prepared by the recipient in the usual course of its operations that describe the family planning activities of the recipient, including reports, brochures, and service statistics; (B) observe the family planning activity conducted by the recipient; (C) consult with family planning personnel of the recipient; and (D) obtain a copy of the audited financial statement or report of the recipient, if there is one;
- (iii) In the event that the prime organization or USAID has reasonable cause to believe that a recipient may have violated its undertaking not to perform or actively promote abortion* as a method of family planning, the prime organization shall review the family planning program of the recipient to determine whether a violation of the undertaking has occurred. The recipient shall make available to the prime organization such books and records and other information as may be reasonably requested in order to conduct the review. USAID may also review the family planning program of the recipient under these circumstances, and USAID shall have access to such books and records and information for inspection upon request consistent with 2 CFR Part 200;
- (iv) The recipient shall refund to the prime organization the entire amount of assistance for health funding furnished to the recipient under this award in the event it is determined that the certification provided by the recipient under subparagraph (iii), above, is false;
- (v) Health assistance provided to the recipient shall be terminated if the recipient violates any undertaking in the agreement required by subparagraphs (iii) and (iv), and the recipient shall refund to the recipient the value of any assistance furnished under this award that is used to perform or actively promote abortion as a method of family planning; and
- (vi) The recipient may furnish assistance for family planning under this award to another foreign nongovernmental organization (the sub-subrecipient) only if: (A) the sub-subrecipient certifies in writing that it does not perform or actively promote abortion as a method of family planning in USAID-recipient countries and does not provide financial support to any other foreign nongovernmental organization that conducts such activities; and (B) the subrecipient obtains the written agreement of the sub-subrecipient that contains the same undertakings and obligations to the subrecipient as those provided by the subrecipient to the recipient as described in this certification and the referenced standard provision.

*Further information, including definitions of the terms used in this certification, including the term “actively promote abortion” can be found in the required standard provision referenced herein and annexed as part of the agreement. By signing this certification, the recipient confirms that they have



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read in full and agree to the standard provision entitled, “Protecting Life in Global Health Assistance (May 2017”).

Grantee Name _____

Grantee’s Authorized Representative Name _____

Grantee’s Authorized Representative Title _____

Grantee Authorized Representative Signature _____

Date _____



8. CERTIFICATION OF RECIPIENT

To: Chemonics International

I, _____, _____, as a legally authorized representative of
Name (Printed or Typed) Title

_____ do hereby certify that, to the best of my knowledge and
Grantee Name

belief, this organization's management and other employees responsible for their implementation are aware of the requirements placed on the organization by 2 CFR 200, and Federal and USAID regulations with respect to the management of, among other things, personnel policies (including salaries), travel, indirect costs, and procurement under this agreement and I further certify that the organization is in compliance with those requirements and other applicable U.S. laws and regulations.

I, we, understand that a false, or intentionally misleading certification could be the cause for possible actions ranging from being found not responsible for this award, termination of award, or suspension or debarment of this organization in accordance with the ADS 303 Standard Provision for Non-U.S. Nongovernmental Organizations (for in-kind, standard, and simplified grants) entitled "Award Termination and Suspension" and the ADS 303 Standard Provision for Fixed Amount Awards to Nongovernmental Organizations entitled "Debarment and Suspension."

I, we, further agree that by signing below, we provide certification and assurance for the following:

- (1) Representation By Organization Regarding A Delinquent Tax Liability Or A Felony Criminal Conviction (August 2014)
- (2) Prohibition On Providing Federal Assistance To Entities That Require Certain Internal Confidentiality Agreements – Representation (May 2017)
- (3) Assurance Of Compliance With Laws And Regulations Governing Nondiscrimination In Federally Assisted Programs
- (4) Certification Regarding Lobbying
- (5) Certification Regarding Terrorist Financing, Implementing Executive Order 13224
- (6) Participant Certification Narcotics Offenses And Drug Trafficking
- (7) Protecting Life In Global Health Assistance (May 2017)

These certifications and assurances are given in consideration of and for the purpose of obtaining any and all U.S. Federal grants, loans, contracts, property, discounts, or other U.S. Federal financial assistance extended after the date hereof to the recipient by Chemonics, including installment payments after such date on account of applications for U.S. Federal financial assistance which was approved before such date. The recipient recognizes and agrees that such U.S. Federal financial assistance will be extended in reliance on the representations and agreements made in these assurances, and that the United States will have the right to seek judicial enforcement of these assurances. These assurances are binding on the recipient, its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign these assurances on behalf of the recipient.



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I declare under penalty of perjury that the foregoing is true and correct.

Signature

Position Title

Name

Date